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|--|--|---|--|---|--|
| FORM PTO-1390<br>(REV. 11-2000)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER                        |  |
|  |  |   |  | US 18024  |  |
|  |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |  |
|  |  |   |  | 09/914305                                       |  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |  |   |  | PRIORITY DATE CLAIMED                           |  |
| INTERNATIONAL APPLICATION NO.  |  | INTERNATIONAL FILING DATE                               |  | 28 December 1999                                |  |
| PCT/EP00/13192   |  | 22 December 2000  |  |   |  |
| TITLE OF INVENTION<br>PROCESS FOR THE PREPARATION OF ETHYLENE POLYMERS   |  |   |  |   |  |
| APPLICANT(S) FOR DO/EO/US<br>BASELL TECHNOLOGY COMPANY BV  |  |   |  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |   |  |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Receipt acknowledgment card<br/>Express Mailing Certificate</p> |  |   |  |   |  |

U.S. APPLICATION NO. (if known, see 37 CFR 1.492(e))

INTERNATIONAL APPLICATION NO.  
PCT/EP00/13192ATTORNEY'S DOCKET NUMBER  
US 1802421.  The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 860

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

| CLAIMS   | NUMBER FILED                  | NUMBER EXTRA | RATE       | \$      |
|--|-------------------------------|--------------|------------|---------|
| Total claims   | 27 - 20 =                     | 7            | x \$18.00  | \$ 126  |
| Independent claims   | 11 - 3 =                      | 8            | x \$80.00  | \$ 640  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |                               |              | + \$270.00 | \$ 0    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |                               |              |            | \$ 1626 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |                               |              |            | \$      |
| <b>SUBTOTAL =</b>  |                               |              |            | \$ 1626 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). |                               |              |            | \$      |
| <b>TOTAL NATIONAL FEE =</b>  |                               |              |            | \$ 1626 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +                               |                               |              |            | \$ 40   |
| <b>TOTAL FEES ENCLOSED =</b>   |                               |              |            | \$ 1666 |
|  | <b>Amount to be refunded:</b> |              | \$         |         |
|  | <b>charged:</b>               |              | \$         |         |

- a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. 08-2336 in the amount of \$ 1666 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-2336. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

William R. Reid  
NAME

47,894

REGISTRATION NUMBER

JCH Rec'd PCT/PTO 127 AUG 2001

09/914305

**EXPRESS MAILING CERTIFICATE**

This certifies that the attached PTO Form 1390 (in duplicate), Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 USC 371, and Declaration for Utility Patent Application, Preliminary Amendment and Assignment for the patent application of **Tiziano Dall'Occo et al.**, for **PROCESS FOR THE PREPARATION OF ETHYLENE POLYMERS** (our ref: US 18024) is being mailed by "Express Mail Post Office to Addressee" service in an envelope addressed:

Box PCT  
Commissioner of Patents  
Washington, D.C. 20231

and deposited on August 27, 2001 as "Express Mail" in the United States Postal Service, the number of the "Express Mail" mailing label being EK794120454US.

Jolene A Outten

Typed Name of Person Mailing Paper or Fee

Jolene A Outten

Signature of Person